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**PATENT APPLICATION**  
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10 **METHOD AND APPARATUS TO FACILITATE**  
**SHARING OPTIMIZED INSTRUCTION CODE**  
**IN A MULTITASKING VIRTUAL MACHINE**

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**Related Application**

[0001] This application hereby claims priority under 35 U.S.C. §119 to a  
20 Provisional Patent Application entitled, "The Design of MVM—a Multitasking  
Virtual Machine," filed March 15, 2001 by inventors Grzegorz J. Czajkowski and  
Laurent P. Daynes (Application No. 60/276,409).

[0002] The subject matter of this application is related to the subject  
matter in a co-pending non-provisional application by the same inventors as the  
25 instant application entitled, "Method and Apparatus For Class Initialization  
Barriers in Multitasking Virtual Machines," having serial number 09/975,145, and  
filing date October 9, 2001.

## BACKGROUND

### Field of the Invention

5 [0003] The present invention relates to computer instruction code. More specifically, the present invention relates to a method and an apparatus that facilitates sharing computer instruction code between programs in a multitasking virtual machine.

### Related Art

10 [0004] Computer programs written in languages such as JAVA™ are compiled into a platform-independent code, which is executed on a virtual machine, such as the JAVA VIRTUAL MACHINE (JVM). A program that has been compiled into a platform-independent code has the advantage that it can execute on a virtual machine regardless of the underlying central processing unit  
15 and native code. The terms JAVA, JVM and JAVA VIRTUAL MACHINE are trademarks of SUN Microsystems, Inc. of Palo Alto, California.

[0005] A virtual machine typically includes an interpreter, which interprets the platform-independent code into native code to perform the desired operations. Interpreting the platform-independent code is an inherently slow  
20 operation; therefore, many virtual machines also include a dynamic compiler, which can compile the platform-independent code at runtime into the native code of the machine being used to host the virtual machine. Compiling the platform-independent code into the native code of the host machine can reduce the execution time of the program.

25 [0006] Virtual machines for object-oriented programming languages with dynamic class loading typically load the code of a class when a program resolves a symbolic reference to that class for the first time. The class needs to be initialized

subsequently when the program uses it for the first time. Loading and initialization of a class are two separate events. Initialization of a class may never take place even though the class has been loaded. In the case of the Java programming language, the initialization of a class consists of executing some code, known as the class's static initializer, that brings the class's variables (also known as the static variables) to a well-defined initialized state. A virtual machine implementation may choose to set a class to the initialized state upon loading when no action is required to initialize that class. For instance, in the Java programming language, no action is required to initialize a class when this class has no declared static initialization sequence, and either no non-final static variables, or non-final static variables that are all declared to be set to a default value. In this case, a virtual machine implementation can benefit from setting such initialization-less classes to the initialized state upon class loading.

[0007] A class initialization barrier is a sequence of native instructions that calls the virtual machine's runtime to initialize a class if it is not already initialized. Class initialization barriers are included in the implementation of those platform-independent instructions that may result in the very first use of a class (in the case of the Java programming language, there are 4 such instructions: getstatic, putstatic, invokestatic, new). The implementation of a platform-independent instruction can come in two flavors: (i) as a sequence of instructions that is part of the implementation of an interpreter of platform-independent instructions, (ii) or as a sequence of instruction generated by a dynamic compiler of platform-independent instructions.

[0008] Because class initialization barriers need only to be executed once per class, it is common practice in the implementation of non-multitasking virtual machines to have recourse to code-rewriting techniques to remove the class initialization barriers and the overhead they induce. In other words, a class

initialization barrier can simply be an instruction that calls the virtual machine runtime and that is removed, or replaced, by the runtime before returning to the call site.

5           [0009] A multitasking virtual machine (MVM) aims at saving processing and memory by sharing as much of the runtime representation of a class as possible among tasks executing programs that use the class. Targets for sharing include the meta-data describing the class, the platform-independent code of the class's methods, and the native code produced by the dynamic compiler. Code re-writing techniques can be harmful when code, whether it is platform-independent  
10 or produced by a runtime compiler, is shared between multiple tasks. For instance, rewriting native code to remove a class initialization barrier is incorrect when that code is shared between multiple tasks, since tasks that have not initialized the corresponding class may subsequently be given access to that class without the class being initialized first. Task re-entrant class initialization barriers  
15 that test the initialization state of a class for the current task must be used instead. However, these barriers may result in severe performance penalty, in particular, by preventing some useful optimization, such as method inlining.

          [0010] What is needed is a method and an apparatus that provides the benefits of sharing dynamically compiled native code among tasks of a  
20 multitasking virtual machine while eliminating the overhead resulting from the use of task re-entrant class initialization barriers.

## SUMMARY

25           [0011] One embodiment of the present invention provides a method that enables native code, dynamically compiled from platform-independent code by one task of a multitasking virtual machine, to be used by other, potentially concurrent, tasks. Such sharing of dynamically compiled code reduces both the

amount of dynamic compilation performed by, and the memory footprint of each task. The dynamic compiler can produce two types of native code that can be shared among tasks: task re-entrant code, and class initialization dependent (CID) code. Task re-entrant code is guaranteed to be executable by any task, irrespective of the classes already initialized by the task. In order to achieve this, the dynamic compiler generates task re-entrant class initialization barriers anywhere in the code where a class might be used for the first time by a task (in the case of the Java programming language, a task re-entrant class initialization barrier must be generated before any sequence of instructions generated to implement any of the four bytecode instructions getstatic, putstatic, invokestatic and new.) When producing CID code, the compiler assumes that a task will execute this code only after the task has initialized all the classes from an initialized set associated with the code. This allows the compiler to avoid generating task re-entrant class initialization barriers for classes from this initialized set, which in turn may foster further optimizations, such as, in the case of the Java programming language, inlining of static methods, or inlining of methods that include access to static variables of a class. The runtime of the system must guarantee that a task uses CID code only when all the classes of the initialized set for this code have been initialized by the task.

[0012] In one embodiment of the present invention, the system maintains only one version of dynamically compiled native code per method of a class, which may be either task re-entrant or class initialization dependent. Upon invocation of a class method without native code, the method's platform-independent code is interpreted, irrespective of the task invoking the method. After a threshold number of interpreted invocations, the dynamic compiler is invoked to build CID native code for the method. Upon invocation of a class method set with CID code, the native code is executed only for those tasks that

have already initialized all the classes specified in the initialized set of the native code; for all other tasks, the method's platform-independent code is interpreted. If a task fails to initialize all the classes of the initialized set of the CID code of a method after a threshold of the number of invocations of that method, a task re-entrant version of the native code of the method is produced to replace its CID code. Upon invocation of a class method set with task re-entrant native code, the native code is executed, irrespective of the task invoking the method.

[0013] In one embodiment of the present invention, the system maintains two versions of dynamically compiled native code per method of a class, one that is task re-entrant, and the other that is class initialization dependent. Upon invocation of a method without native code, the method's platform-independent code is interpreted, irrespective of the task invoking the method. After a threshold number of interpreted invocations, the dynamic compiler is invoked to build CID native code for the method. Upon invocation of a class method set with CID code, the native code is executed only for those tasks that have already initialized all the classes specified in the initialized set of native code; for all other tasks, if the method also includes task re-entrant native code, this one is executed. Otherwise, the method's platform-independent code is interpreted. After a threshold of the number of invocations of a method without task re-entrant native code but with CID code, if a task fails to initialize all the classes of the initialized set of the CID code, task re-entrant native code is produced for the method and added to it. The CID native code of a method that also has task re-entrant native code is replaced with another CID produced with a different initialized set after a threshold number of invocations to the method that did not result in executing the CID native code. After a threshold number of such replacement of CID code, the method is set with task re-entrant native code only.

[0014] In one embodiment of the present invention, the system maintains more than one version of dynamically compiled native code per method of a class, wherein at least one version is task re-entrant, and the remaining versions differ by their initialized set and the optimizations they enabled.

5 [0015] In one embodiment of the present invention, the initialized set used to build CID native code for a method is the intersection of the set of classes already initialized by the task that triggered the compilation with the set of classes whose initialization may be triggered by the method to be compiled.

10 [0016] In one embodiment of the present invention, the initialized set used to build CID native code for a method is the set of all the classes whose initialization may be triggered by the method to be compiled.

[0017] In one embodiment of the present invention, the initialized set used to build CID native code for a method is the intersection of each of the set of classes already initialized by all the running tasks and of the set of classes whose  
15 initialization may be triggered by the method to be compiled.

[0018] In one embodiment of the present invention, the initialized sets of the CID native code of methods of the same class are the same, so that a call from the CID native code of a method to the CID native code of another method of the same class does not need testing beforehand, if the invoking task has initialized all  
20 the classes of the initialized set of the second method.

[0019] In one embodiment of the present invention, when the overall size of compiled code storage hits a threshold of consumed space, the runtime of the system can remove the CID native code from the method when the method also includes task re-entrant native code. All subsequent invocations of the method  
25 result in executing the task re-entrant native code, irrespective of classes already initialized by the invoking task.

[0020] In one embodiment of the present invention, only methods that include a number of class initialization barriers greater than a threshold are candidates for compilation into CID native code. Otherwise, these methods are compiled directly into task re-entrant native code.

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## BRIEF DESCRIPTION OF THE FIGURES

[0021] FIG. 1 illustrates computing device 100 in accordance with an embodiment of the present invention.

10 [0022] FIG. 2 is a diagram illustrating the evolution of the representation of a shared class method, initially with platform-independent code, then augmented with CID native code and task re-entrant native code in accordance with an embodiment of the present invention.

15 [0023] FIG. 3 illustrates the data structures for keeping track of the CID native code each task can use in accordance with an embodiment of the present invention.

[0024] FIG. 4A is a flowchart illustrating how reversed initialized sets are created, and updated after creation of CID native code.

20 [0025] FIG. 4B is a flowchart illustrating the action performed upon initialization of a class by a task to update information that keeps track of what CID native code can be used by that task in accordance with an embodiment of the present invention.

[0026] FIG. 5 illustrates how method descriptors keep track of what native code entry point to use upon invocation of the corresponding method in accordance with an embodiment of the present invention.

25 [0027] FIG. 6A is a flowchart illustrating the actions performed upon entering the CID native code of a method in order to decide whether the current



task can execute the CID native code or must interpret the platform-independent code of the method in accordance with an embodiment of the present invention.

[0028] FIG. 6B is a flowchart illustrating the actions performed upon entering the CID native code of a method in order to decide whether the current task can execute the CID native code or must execute the task re-entrant native code of the method in accordance with an embodiment of the present invention.

### DETAILED DESCRIPTION

[0029] The following description is presented to enable any person skilled in the art to make and use the invention, and is provided in the context of a particular application and its requirements. Various modifications to the disclosed embodiments will be readily apparent to those skilled in the art, and the general principles defined herein may be applied to other embodiments and applications without departing from the spirit and scope of the present invention. Thus, the present invention is not intended to be limited to the embodiments shown, but is to be accorded the widest scope consistent with the principles and features disclosed herein.

[0030] The data structures and code described in this detailed description are typically stored on a computer readable storage medium, which may be any device or medium that can store code and/or data for use by a computer system. This includes, but is not limited to, magnetic and optical storage devices such as disk drives, magnetic tape, CDs (compact discs) and DVDs (digital versatile discs or digital video discs), and computer instruction signals embodied in a transmission medium (with or without a carrier wave upon which the signals are modulated). For example, the transmission medium may include a communications network, such as the Internet.

## **Computing Device**

[0031] FIG. 1 illustrates computing device 100 in accordance with an embodiment of the present invention. Computing device 100 can generally include any type of computer system, including, but not limited to, a computer system based on a microprocessor, a mainframe computer, a digital signal processor, a portable computing device, a personal organizer, a device controller, and a computational engine within an appliance. Computing device 100 includes multitasking virtual machine 102.

[0032] Multitasking virtual machine 102 executes platform-independent code on behalf of multiple tasks such that each task is provided with the illusion that it is the only task being executed. Multitasking virtual machine 102 includes shared runtime storage 104, tasks 106, 108, and 110, platform-independent code interpreter 112, and dynamic compiler 114. Note that multitasking virtual machine 102 may include more or less tasks than the number shown.

[0033] Shared runtime storage 104 contains the runtime data structures that can be shared among the tasks executed by multitasking virtual machine 102. Data structures that make the shared runtime representation of classes, such as, platform-independent code of class methods, dynamically compiled native code for class methods, and other class information (e.g., in the case of the java programming language, the constant pool, the field, method, and interface arrays, etc) are stored in the shared runtime storage. Tasks 106, 108, and 110, maintain what is private to them in their respective private storage area. The initialization states of the classes used by a task and their static variables are example of task private data. Platform-independent code interpreter 112 interprets the platform-independent code for tasks 106, 108, and 110. Dynamic compiler 114 serves the requests issued by the runtime of virtual machine 102 to compile platform-independent code to native code of computing device 100. Converting the

platform-independent code to the native code of computing device 100 can increase the efficiency and throughput of computing device 100. Typically, dynamic compiler 114 converts the platform-independent code to the native code of computing device 100 after a threshold number of invocation of the platform-independent code. Since converting the platform-independent code to the native code of computing device 100 is a costly process in terms of processing time, the use of dynamic compiler 114 is delayed to ensure that the cost of conversion will be recovered in the faster execution time of the platform-independent code.

Conversion to native code by dynamic compiler 114 is performed on a method-by-method basis (i.e., some class methods may be converted to native code by the dynamic compiler and some may not be converted).

[0034] The native code generated by dynamic compiler 114 may be either task re-entrant or class initialization dependent. Both type of native code are stored in shared runtime storage.

### **Code Progression**

[0035] FIG. 2 is a diagram illustrating the state transitions of a class method and the event that triggers each state transition, in accordance with an embodiment of the present invention. Multitasking virtual machine 102 sets method 200 to the initial state 224 upon loading of the class that defines method 200. In initial state 224, all invocations of method 200, irrespective of the task carrying the invocation, result in interpreting the corresponding platform-independent code 202. Multitasking virtual machine 102 keeps track of the number of times method 202 has been interpreted.

[0036] After the number of interpreted invocations of method 200 reaches the compilation threshold 208, dynamic compiler 114 converts platform-independent code 202 into CID native code 204 using an initialized set that

enumerates a set of classes that the compiler must assume initialized. Dynamic compiler 114 uses the initialized set to avoid generating task re-entrant class initialization barriers directed to any of the classes in the initialized set. After creation of CID native code 204, multitasking virtual machine 102 sets method 200 into state 226. In state 226, invocations to method 200 result in interpretation of platform-independent code 202, if at least one of the classes in the initialized set of CID native code 204 is not initialized by the invoking task. Otherwise, the invocation of method 200 results in executing CID native code 204. Multitasking virtual machine 102 keeps track of the number of times each task interprets method 200 in state 226.

[0037] Some tasks may not reach a state quickly enough where they can use CID native code 204. Multitasking virtual machine 102 detects this situation by examining the number of times the invoking task has interpreted method 200 before each interpretation of method 200. If the number of times is greater than a blocking threshold 210, the dynamic compiler is requested to produce task re-entrant native code 208. This can be done either by converting platform-independent code 202, or by modifying a copy of CID native code 204. The latter is usually substantially faster, because it does not involve complex compiler analysis. Instead, instructions corresponding to task re-entrant class initialization barriers are inserted at locations previously recorded during the building of CID native code 204. Inserting such instructions may cause further updates because of code relocation (i.e., branch instruction may need updates as well). However, even with these complications, the cost of updating a copy of CID native code remains substantially cheaper than recompiling the corresponding platform-independent code. After creation of task re-entrant native code 206, multitasking virtual machine 102 sets method 200 into state 228. In state 228, invocations to method 200 result in execution of task re-entrant native code 206, if at least one of

the classes in the initialized set of CID native code 204 is not initialized by the invoking task. Otherwise, the invocation of method 200 results in execution of CID native code 204.

5 [0038] In state 228, several versions of optimized native code may exist, each with unique requirements for use. Additional versions of optimized native code depend upon having available space within memory 104. If space is needed within memory 104 for additional classes, the additional versions of optimized native code and un-optimized native code 206 can be removed by code cache space reclamation 212, returning the system to state 226. The favored  
10 combination is one that maintains at most two versions of native code per method, one of which is task re-entrant.

#### **Selection of code to execute**

15 [0039] FIG. 3 illustrates an example of data structures maintained by an implementation of a multitasking virtual machine to keep track of the CID native code that each task can execute. All CID native codes are recorded in CID native code table 306. The index to the entry of table 306 that records a CID native code is used also to uniquely identify that CID native method. The header of a CID native code (e.g., native code 302 and 304) includes its unique identifier, and a  
20 pointer to the initialized set used to build the CID native code. The initialized set of a CID code can be implemented as an array of pointers to shared runtime representation of classes (e.g., initialized sets 310 and 312 for CID native code 302 and 304, respectively). Classes that belong to at least one initialized set of a CID native code are associated with a reverse initialized set, typically  
25 implemented as a bitmap for compactness. The reverse initialized set of a class records which CID native codes include that class in their initialized set. Reverse initialized set bitmaps have as many bits as there are entries in CID native code

table 306. The unique identifier of a CID native code is used to index the bitmaps that implement reverse initialized sets. For instance, CID native code 304 is recorded in entry Y of table 306, and includes class 316 in its initialized set; the bit at position Y in the reverse initialized set 320 associated with class 316 is set to 1 to indicate that class 316 is in the initialized set of native code CID 304 whose identifier is Y. Additionally, each task maintains an array of invocation counters that keeps track of the number of invocations of a method set with CID native code that did not result in executing that CID native code. As for the reverse initialized sets, these arrays of counters have as many entries as the CID native code table 306, and the unique identifier of a CID native code is used to index the arrays to obtain the invocation counter of a task for that native code. Each thread running on behalf of a particular task holds in its descriptor a pointer to the array of counters of their task. A negative counter value indicates that the task has initialized all the classes of the initialized set of the corresponding CID native code, and therefore, invocation to the corresponding method can execute the CID native code. For instance, invocations of method 308 issued by threads 322 and 324 result in executing CID native code 302, as indicated by entry X of counter array 328. However, invocations of method 308 issued by thread 326 result in either interpreting the platform-independent code for method 308, or task re-entrant native code, depending on the state of method 308.

[0040] FIG. 4A is a flowchart illustrating how reversed initialized sets are created, and updated after creation of CID native code. When the dynamic compiler builds a CID native code, it adds the CID native code to the reverse initialized set of each of the classes of its initialized set. For each such class, it first tests whether the shared runtime representation of the class is already associated with a reverse initialized set (step 404). If not, one is created (step 406), and its pointer stored in the shared runtime representation of the class (step

408). The identifier of the CID native code is then added to the reverse initialized set (step 410).

[0041] FIG. 4B is a flowchart illustrating the action performed upon initialization of a class by a task to update information that keeps track of what CID native code can be used by that task in accordance with an embodiment of the present invention.. Upon initialization of a class by a task, the multitasking virtual machine scans the reversed map of the class to find all the CID native code that includethat class in their initialized set (step 412). The initialized set of each such CID native code is then scanned (step 414) to determine if all the classes in the set, other than the one being initialized, are already initialized (step 416 and 418). If this is the case, the counter corresponding to that CID native method for the initializing task is set to -1 to indicate that from now on, invocations of the corresponding method by the threads of that task can execute the CID native code (step 420).

[0042] FIG. 5 illustrates how method descriptors encode the state of a method and indicate where to transfer control to execute the method upon its invocation. The native code to execute upon invocation is indicated by a native code entry point field in the descriptor of the method. The dynamic compiler uses the code entry point field to generate call instructions that implement invocation to that method; the interpreter uses the code entry point field to determine when native code is available, and which entry point to native code it should use; and the runtime of the dynamic compiler uses the code entry point field to update, upon method invocation, call sites that became obsolete because of a transition of the state of the invoked method. When method 510 has no native code, the native code entry point field is set to null, indicating that control must be transferred to the interpreter, which will execute the platform-independent code of method 510. When a method has only one native code (whether it is task re-entrant as when

method 510 is in state 508, or class initialization dependent, as when it is in state 504), invocations of the method always results in executing the entry point of the native code. When a method has both task re-entrant and CID native code (as when method 510 is in state 506), its invocation always results in executing an entry point of its CID native code. In all cases, CID native code is responsible for determining whether it can be used by the task that performs the invocation. CID native code 512 for method 502 contains two guarded entry points: guarded entry point 514 is used when the method does not have task re-entrant native code in addition to its CID native code 510; guarded entry point 516 is used when the method also has task re-entrant native code 510. In addition to the guarded entry point, an unguarded entry point is also available when testing whether the invoking thread can use the CID native code is unnecessary. This third entry point is entered from the two guarded entry points. It may also be entered directly when the invoker method has the same, or a superset, of the initialized set of the invoked CID code. For instance, a dynamic compiler may choose to compile all methods of a given class with the same initialized set. In this case, all invocations from the CID native code of a method of a class to the CID native code of another method of the same class can use the unguarded entry. Similarly, recursive invocation can use the unguarded entry directly.

[0043] FIG. 6A is a flowchart illustrating the actions performed upon entering the CID native code of a method without task re-entrant native code. In this case, the guarded entry point must determine whether the task on behalf of which the current thread runs has initialized all the classes of the initialized set of the CID native method. This is accomplished by examining the invocation counter that corresponds to the CID native code. The array of invocation counters for CID native code for the current task is first obtained from the descriptor of the current thread (step 602). The appropriate counter is then obtained from this array



by indexing the array with the unique identifier of the CID native code (step 604). The value of the counter is then tested (step 606). A negative value means that the task has initialized all the classes listed in the initialized set of the CID native code, and the thread can execute the CID native code (step 618). Otherwise, the counter is incremented (step 608), and its value compared with that of a blocking threshold (step 610). If the counter is greater than the threshold, the dynamic compiler is invoked to build task re-entrant native code for the method (step 612), and execution is transferred to this task re-entrant native code (step 614). Otherwise, control is transferred to the interpreter, which executes the method's platform-independent code (step 616). Note that once the task re-entrant code is built, the native code entry point encoded in the method descriptor is modified to refer to the second guarded entry point of the CID native code, whose actions are described below in conjunction with FIG. 6B.

[0044] It is possible that all the classes in the initialized set of a CID native code have been initialized by a task, but that that task's counter for this CID native code was not set to -1 to indicate so. This situation can happen when the CID native code is created by another task, after the first task has initialized all the classes of the initialized set of the CID native code. The counter is not updated in this case because determining all the tasks that have initialized all the classes of the initialized set of the CID code can be expensive. Instead, the runtime waits for a task in this situation to reach the blocking threshold to test again the initialization status of the classes in the initialized set of the CID code for the task. If the task has initialized all the classes, its counter for the CID code is set to -1 and the method invocation resumes by executing the CID native code.

[0045] Below is a sequence of assembler code for SPARC™ V9 processors that corresponds to the action described in the flowchart of FIG. 6A. SPARC is a trademark or registered trademark of SPARC International, Inc. in the

United States and other countries. Note that the tasks that can execute the CID native code pay only the overhead of the first four instructions of the entry point (to test if the task has initialized all the classes in the initialized set of the CID native code). This corresponds to the overhead of a single task re-entrant class initialization barrier. The remaining instructions are executed only when the method must be interpreted, and processing overhead is not as crucial for performance in this case. Note also the use of an atomic compare-and-swap (cas) instruction to update the invocation counter, in order to avoid race conditions with class initialization code that may set the counter to -1 upon initialization of the last non-initialized class of the initialized set of the CID native code. Immediately after the cas instruction, the exchanged value of the counter is tested again in case a concurrent thread did change the counter to indicate that the task can now use the CID native code.

```

15      1.  ld [r_thread + offset_to_counter_table], r_table
        2.  mov IDENTIFIER, r_index
        3.  ld [r_table + r_index], r_counter
        4.  brlz, r_counter, unguarded_cid_entry_point
        5.  nop
20      6.  add r_counter, 1, r_new_counter
        7.  sethi hi(interpreter_entry_point), r_iep
        8.  cas [r_table + r_index], r_counter, new_counter
        9.  brlz new_counter, unguarded_cid_entry_point
        10. nop
25      11. set BLOCKING_THRESHOLD1, rb
        12. cmp rb, new_counter
        13. be call_dynamic_compiler
        14. nop
        /* jump to the interpreter entry point*/
30      15. jmp r_iep + low(interpreter_entry_point)
        16. nop

```

[0046] FIG. 6B is a flowchart illustrating the actions performed upon entering the CID native code of a method that also has task re-entrant native code. As before, the entry point must determine whether the task on behalf of which the current thread runs has initialized all the classes of the initialized set of the CID method. This is determined as in the other guarded entry point. The array of invocation counters for CID native code for the current task is first obtained from the descriptor of the current thread (step 620). From this array, the counter for the CID native code is then obtained by indexing the array with the unique identifier of the CID native code (step 622). The value of the counter is then tested (step 624). A negative value means that the task has initialized all the classes listed in the initialized set of the CID native code, and the thread can execute the CID native code (step 628). Otherwise, if the counter has a value of 0, control is immediately transferred to the available task re-entrant native code (step 626), which is then executed (step 638). Otherwise, the counter is incremented (step 630), and its value tested against a blocking threshold of the number of invocations of the method that could not result in executing its CID native code (step 632). If the counter's value is below the threshold, control is transferred to the available task re-entrant native code (step 638). Otherwise, a request to asynchronously recompile the CID native code is issued to the dynamic compiler (step 634), the counter is set to 0 so that subsequent invocations directly result in executing the task re-entrant code of the method (step 636), and the task re-entrant code is executed (step 638). Note that in case the blocking threshold is hit, the invoker does not wait for the CID native code to be recompiled. The decision to recompile the method is ultimately taken by the dynamic compiler based on the feedback obtained from execution. For instance, it may be that only one task over a long period of time has hit the blocking threshold. In this case, the dynamic compiler may ignore the request submitted by that task since it does not benefit

the majority of tasks that used that method. For this reason, the request is submitted asynchronously, and a shorter path to executing the task re-entrant code is created by setting the invocation counter of the task to 0.

[0047] Below is a sequence of assembler code for SPARCTM V9

5 processors that corresponds to the action described in the flowchart of FIG. 6B. As before, the tasks that can execute the CID native code pay only the overhead of the first four instructions of the guarded entry point. Tasks that have reached the blocking threshold pay an additional overhead of three instructions (instructions 6, 7, and 16) upon every invocation of the method. Note that the blocking threshold  
10 in this case can be much smaller than for the other entry points since it may pay off much earlier to switch to task re-entrant native code (since the switching does not involve any heavyweight compilation cost, as opposed to the case when the method does not have already task re-entrant native code).

15       1.     ld [r\_thread + offset\_to\_counter\_table], r\_table  
         2.     mov IDENTIFIER, r\_index  
         3.     ld [r\_table + r\_index], r\_counter  
         4.     brlz, r\_counter, unguarded\_cid\_entry\_point  
         5.     nop  
20       6.     brz, r\_counter, execute\_task\_reentrant\_code  
         7.     sethi hi(task\_reentrant\_entry\_point), r\_trep  
         8.     add r\_counter,1,r\_new\_counter  
         9.     cas [r\_table + r\_index], r\_counter, new\_counter  
         10.    brlz new\_counter, unguarded\_cid\_entry\_point  
25       11.    nop  
         12.    set BLOCKING\_THRESHOLD2, rb  
         13.    cmp rb, new\_counter  
         14.    be call\_dynamic\_compiler  
         15.    nop  
30       execute\_task\_reentrant\_code:  
         16.    jmp r\_trep, low(task\_reentrant\_entry\_point)  
         17.    nop

### **Choice of an initialized set**

5       [0048] When building CID native code, the dynamic compiler should choose an initialized set such that the code produced can be immediately used, at least by the task that requested the dynamic compilation. In other words, the chosen initialized set should be a subset of the set of classes already initialized by the task on behalf of which the compilation takes place. The initialized set must also be a subset of the comprehensive initialized set of the method being compiled, which is the set of all the classes whose initialization can be triggered by that method. Thus, a good compromise for an initialized set is the intersection  
10 between the set of classes already initialized by the task requesting the dynamic compilation (i.e., the requester), and the comprehensive initialized set of the method. This intersection can be determined by first computing the comprehensive initialized set of the method, then iterating over it to find which ones have been initialized by the requester, and adding them to the initialized set.

15       [0049] The comprehensive set of methods can be simply obtained by iterating over all the instructions of the platform-independent code of the method, and for each method requiring a class initialization barrier, add the targeted class to the comprehensive initialized set. Computation of the comprehensive initialized set can be made opportunistically, at times when the platform-independent code is already being iterated over. For instance, in the case of the  
20 Java programming language, the JVM performs an abstract interpretation of the platform-independent code to verify it. Verification can be modified to also compute the comprehensive initialized set of all the methods of a classes.

25       [0050] Dynamic compilers commonly start with building an intermediate representation of the code they compile in order to ease subsequent code analysis and optimization phases. Building the intermediate representation requires iterating over all the instructions of the platform-independent code of the method,

and is a better place to build the comprehensive initialized set of a method. Thus, the comprehensive initialized set can be computed while building the intermediate representation, and the initialized set can be determined once the intermediate representation is built, just before the optimization and analysis phases of the compilation where the initialized set can be used.

[0051] Note that while building native code, the dynamic compiler may identify classes for which the compiled method will never trigger an initialization class. Such classes are removed from both the comprehensive initialized set and the initialized set actually used.

[0052] The foregoing descriptions of embodiments of the present invention have been presented for purposes of illustration and description only. They are not intended to be exhaustive or to limit the present invention to the forms disclosed. Accordingly, many modifications and variations will be apparent to practitioners skilled in the art. Additionally, the above disclosure is not intended to limit the present invention. The scope of the present invention is defined by the appended claims.